



IAP12 Rec'd PCT/PTO 28 MAR 2007

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Roger M. King  
Serial No.: 10/582,437  
Filing Date: June 9, 2006  
Docket No.: CAR-001PAT  
Title: BOTTLE AND CLOSURE ASSEMBLY WITH IMPROVED  
ELEMENTS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RESPONSE TO NOTIFICATION OF  
INSUFFICIENT FEES

Enclosed is the following:

1. a check for \$360 for payment of insufficient funds for multiple dependent claim fee; and Copy of Notice.

04/02/2007 HKAYPAGH 00000074 10582437

01 FC:1616

360.00 OP

March 26, 2007  
905 Ohio - Pike  
Cincinnati, Ohio 45245  
(513) 752-5350 (Phone/fax)

Respectfully submitted,

Mark F. Smith

CERTIFICATE OF MAILING

I hereby certify this Response and all documents referred to as enclosed therein are being deposited with the United States Postal Service on this date March 26, 2007 addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 as First Class mail.

Mark F. Smith (32,437)

March 26, 2007



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/582,437	Roger Milner King	CAR-001PAT

INTERNATIONAL APPLICATION NO.
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PCT/GB04/05099

I.A. FILING DATE	PRIORITY DATE
12/03/2004	12/19/2003

Mark F. Smith  
 Smith Brandenburg & Novak Ltd.  
 905 Ohio Pike  
 Cincinnati, OH 45245

CONFIRMATION NO. 4872

371 FORMALITIES LETTER



\*OC000000022964882\*

Date Mailed: 03/20/2007

## Notification of Insufficient Fees (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/09/2006
- Copy of the International Search Report filed on 06/09/2006
- Copy of IPE Report filed on 06/09/2006
- Information Disclosure Statements filed on 01/11/2007
- Oath or Declaration filed on 09/05/2006
- U.S. Basic National Fees filed on 06/09/2006
- Priority Documents filed on 06/09/2006
- Specification filed on 06/09/2006
- Claims filed on 06/09/2006
- Abstracts filed on 06/09/2006
- Drawings filed on 06/09/2006

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of **\$360** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$360** for a Large Entity:

- Total additional claim fee(s) for this application is **\$ 360**
  - **\$360** for multiple dependent claim surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

KAREN R MCLEAN

Telephone: (703) 308-9140 EXT 214

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/582,437	PCT/GB04/05099	CAR-001PAT